

# Using an Evolutionary Algorithm for the Tuning of a Chess Evaluation Function Based on a Dynamic Boundary Strategy

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**Abstract**—One of the effective ways of optimising the evaluation function of a chess game is by tuning each of its parameters. Recently, evolutionary algorithms have become an appropriate choice as optimisers.

In the past works related to this domain, the values of the parameters are within a fixed boundary which means that no matter how the recombination and mutation operators are applied, the value of a given parameter cannot go beyond its corresponding interval.

In this paper, we propose a new strategy called “dynamic boundary strategy” where the boundaries of the interval of each parameter are dynamic. A real-coded evolutionary algorithm that incorporates this strategy and uses the polynomial mutation as its main exploitative tool is implemented.

The effectiveness of the proposed strategy is tested by competing our program against a popular commercial chess software. Our chess program has shown an autonomous improvement in performance after learning for hundreds of generations.

**Keywords**—evaluation function, evolutionary algorithm, chess program.

## I. INTRODUCTION (HEADING 1)

Chess, or “The Game of the Kings”, is a classic strategy board game involving two players. It consists of 32 chess pieces and an 8 x 8 squares board, where the goal of this game is to capture the opponent’s king. The first chess playing machine was built in 1769. Amazingly, the first ever chess program was written before even computers were actually invented and this has gone to become one of the popular research areas in computational intelligence. Since then, researchers are trying to find a solution that allows the machine to play a perfect chess game.

In order to achieve the perfection, the machine is required to traverse the chess game’s search tree up to 100 level depths (where each player is allowed to move no more than fifty times in a game). This is simply computationally impossible, as the average of possible moves (branching factor) per turn is around 35 moves.

Searching all the way down to the bottom of the search tree is still computationally impossible even after using some pruning techniques (like alpha-beta pruning) to cut off unnecessary branches of the tree. Therefore, practitioners would normally limit the search depth and apply some evaluation functions to estimate the score of a given move. The setup of such evaluation function can be done by iteratively tuning it until it reaches an optimum state. The search space of the possible values of the evaluation function being huge necessitates the use of, among others, evolutionary algorithms.

In this work, we use an evolutionary algorithm to search for a good evaluation function setup. Using exclusively the mutation operator and applying it on a dynamic interval, we try to evolve an adequate weight (denoting the importance) for each chess piece.

This paper is organised as follows. Section 2 briefly presents the implemented chess program. Section 3 describes the key modelling concepts of our application. The chromosomal representation, the selection method, and the mutation technique are all discussed. In particular, the dynamic boundary strategy is explained. Experimental designs and their results are discussed in section 4. Finally, section 5 gives the concluding remarks of this work.

## II. THE CHESS ENGINE

A chess program is implemented to support our experiment. The positions of the chessboard are represented by a matrix of size 8x8, where each of its variables corresponds to a square on the chessboard. The basic rules of the chess game are implemented in the chess program to prevent illegal moves.

The chess program determines each move by evaluating the quality of each possible board position using an evaluation function. The evaluation function used in this work is a slight modification of the one used in [1] which is a simplified version of Shannon’s evaluation function [5].

The evaluation function, given below in (1), calculates the sum of the material values a) for each chess piece, b) the number of two pawns existing on the same column (double pawn) and c) the number of available legal moves (mobility). Different weights are assigned to each of the chess pieces, double pawn, and mobility variables. These weights are to be tuned later by a learning process in order to optimise the evaluation function.

$$Eval = \sum_{i=0}^7 w[i] (Q[i]_{White} - Q[i]_{Black}) \quad (1)$$

Where:

Q, W = Quantity, Weight.

Q [7] = {Q king, Q queen, Q rook, Q bishop, Q knight, Q pawn, Q double pawn, Q mobility}

W [7] = {W king, W queen, W rook, W bishop, W knight, W pawn, W double pawn, W mobility}

The alpha-beta pruning procedure detailed in [9] is used to search for the best move from the chess game tree. The depth of the search is set to 3 ply (3 moves depth).

In addition, for each chess piece captured and before the move is committed, a quiescence check operation is carried out. This extra checking process goes 3 ply further down the search space.

The game is terminated when one of the three conditions is fulfilled: a checkmate state, no legal moves for a given player, or both players have reached the 50 moves situation without winning. A player receives 1 point for a win and 0 for a draw or a loss.

### III. METHOD

We use an evolutionary algorithm to optimise the evaluation function. An individual is represented by a real vector of dimension 6. Each vector element represents the weight of either a chess piece other than the king and the pawn (which are assigned constant weights of 1000 and 1 respectively), a double pawn, or a mobility.

There are five individual in any given population. They all compete with each other for survival. Two individuals (two chess programs using two different evaluation functions) play each other a two round game where each one will take turn in starting the game first. If an individual wins, it is awarded +1, otherwise 0. Based on the points collected from this two-round game, the winner is cloned and its clone mutated. Both the winner and its mutated version are copied into the next generation. This process continues until all individuals in the population converge to the same solution.

#### A. Selection

A vector population of five individuals is used where the selection process, a slight modification of the one in [1], is as

follows. First we choose the first individual and make it compete with a randomly chosen individual from among the rest of the population.

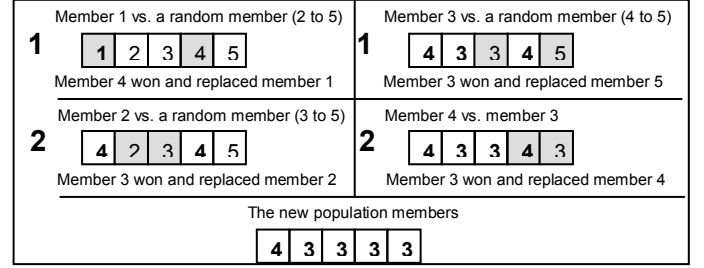


Figure 1. . An Example of Selection-Competition

The winner is duplicated and overwrites the loser. Next, the second individual is selected and competes with a randomly chosen individual from the rest of the population excluding the first individual. This iterative procedure goes on until all the individuals have been considered. Figure 1 shows a complete selection of a vector population of five individuals. The shaded squares indicate the two competing individuals. In this experiment, and contrary to that in [1], the vector population is not reversed at the end of the selection so that the propagation of the fittest individual is slowed down.

By using this iterative method for selection, the fittest individual will ultimately occupy the last slot of the vector population.

#### B. Mutation

In this experiment, a real-coded mutation operator is used as the main operator to tune the parameters of the evaluation function of each individual. We choose to use the polynomial mutation as devised in [11]. Its distribution parameter  $\eta$  is set to 20. The mutated parameter  $y$  is given below.

$$y_i^{(1,t+1)} = x_i^{(1,t+1)} + (x_i^{(U)} - x_i^{(L)}) \bar{\delta}_i \quad (2)$$

The parameter  $\bar{\delta}_i$  is calculated from the polynomial probability distribution:

$$P(\delta) = 0.5(\eta_m + 1)(1 - |\delta|^{\eta_m}) \quad (3)$$

$$\bar{\delta}_i = \begin{cases} (2r_i)^{1/(\eta_m+1)} - 1, & \text{If } r_i < 0.5 \\ 1 - [2(1-r_i)]^{1/(\eta_m+1)}, & \text{If } r_i \geq 0.5 \end{cases} \quad (4)$$

Where:

$$y_i^{(1,t+1)} = \text{new parameter after mutation}$$

$x_i^{(1,t+1)}$  = parameter before mutation

$x_i^{(U)}$  = parameter upper boundary

$x_i^{(L)}$  = parameter lower boundary

$\eta$  = probability to mutate the parameter close to original parameter

$r$  = random number in [0 1].

Recall that each individual consists of six parameters denoting the weights of the different chess pieces (except the king and the pawn), double pawn and mobility. For each parameter, a specific value of its probability of mutation is assigned to it. For instance, the mutation probabilities for queen, rook, bishop, and knight parameters were all set to 25%, while the mutation probabilities for a double pawn and mobility parameters were set to 10%. Note that the mutation probabilities are relatively higher than the usual. This is to make sure that at least one parameter is mutated so that there will be no duplicated individual in the population.

### C. Dynamic Boundary Strategy

A good search method must promote diversity so that it is not trapped on a local optima. The search space in this application is very huge. The diversity of a search method can be reflected by the standard deviations of each of the parameters. If the standard deviation is large then the diversity of the search method will be also large, whereas if the standard deviation is reduced, the diversity will be reduced as well.

To solve the problem of converging to a local optima, we propose a new approach called Dynamic Boundary Strategy. This strategy (at the same time) maintains and controls the diversity of the search throughout the generations. In other approaches [1], it is required to fix the upper and lower boundaries for each of the individual's parameters.

The basic idea of the dynamic boundary strategy is to have an adjustable boundary for each parameter. Consider the following individual with the initial parameters:

Queen	Rook	Bishop	Knight	Double Pawn	Mobility
9	5	3	3	0.5	0.1

The boundaries for the domain of each parameter are dynamic, however the diameter of that domain is constant. For instance, the diameters of the domains of the queen, the rook, the bishop, and the knight are all set to the value 2. The double pawn's domain is set the value 0.2. The Mobility' boundaries are fixed however (upper boundary =0.5, lower boundary =0.01). For instance, if the weight of the queen is 9, then its upper boundary is 11 and its lower boundary is 7. If the weight of double pawn is 0.5, then its upper and lower boundaries are respectively 0.7and 0.3.

For example, the parameters of the above individual after applying the polynomial mutation could be as the following:

Queen	Rook	Bishop	Knight	Double Pawn	Mobility
8.25	5	3	3	0.5	0.1

Notice that the weight of the queen has been mutated from 9 to 8.25. Then, the upper boundary of the queen will change to 10.25 while its lower boundary will become 6.25. This means that when the next mutation occurs on the queen's weight, the possible weight for the queen will range from 10.25 to 6.25.

In order to speed up the learning process, the weight of the queen can be forced to be at least equal to the highest parameter of the individual (such as rook, bishop, or knight). This is because it is sensible to think that the weight of the queen must be higher than the weight of any other chess piece (other than the king) as it is the most useful piece in a chess game. For example, consider the following values of the parameters of a given individual:

Queen	Rook	Bishop	Knight	Double Pawn	Mobility
4.5	5	3	3	0.5	0.1

Notice that the Rook's weight is the highest. It is obvious that the Queen's weight should be at least as higher as that of the Rook. Therefore, we can bring the Queen's weight up to 5, which is now equal to the Rook's weight.

Queen	Rook	Bishop	Knight	Double Pawn	Mobility
5	5	3	3	0.5	0.1

The main advantage of dynamic boundary strategy is that the search space in one generation of learning is much smaller compared to that of other fixed boundary strategies.

Indeed, the dynamic boundary strategy explores small portions of the search space at each generation. However, in the long run, it is still capable of exploring a huge part of the search space. This is due to its moving boundaries. The main idea is that at each generation, the search process is concentrated in one part of the search space.

Without this strategy, one needs to set good boundaries for the parameter's domain, otherwise, a sufficiently large domain must be thought of, which is not a trivial task. This is not required when using the dynamic boundary strategy

TABLE I. THE INDIVIDUALS OF THE INITIAL POPULATION

	Queen	Rook	Bishop	Knight	Double Pawn	Mobility
Individual 1	3	3	3	3	0.5	0.1
Individual 2	2.5	2.5	2.5	2.5	0.5	0.1
Individual 3	2	2	2	2	0.5	0.1
Individual 4	1.5	1.5	1.5	1.5	0.5	0.1
Individual 5	1	1	1	1	0.5	0.1

#### IV. EXPERIMENTAL RESULTS

##### A. Experimental Design

A small population of five individuals is used for breeding a good solution. The values for each of the parameters were inserted manually to make sure that the initial population will only consists of poor individuals (bad evaluation functions). The purpose was to see whether the dynamic boundary strategy would be able to ameliorate the fitness (evaluation function) of the individuals. The initial individuals of the population are shown in Table I.

Recall from the section II that our chess program was designed to search for a 3 ply depth and can be extended the search to look for 3 more ply when the quiescence check operation is triggered. We run the program for 520 generations. The means and the standard deviations of the initial population are given below in Table II.

##### B. Results

After learning for 520 generations, the values of the parameters of the individuals have changed a lot. Figure 2 and Figure 3 respectively show the averages and the standard deviations of the parameters throughout the whole learning procedure (520 generations).

The averages of the weights and their standard deviations at the end of the experiments are given in Table III.

It is worth noting that in evolutionary algorithms that uses fixed boundary approach, the diversity of the search decreases gradually after many generations. Notice also that the standard deviations of the last population (using our strategy) shown in Table III have not changed too far from their initial standard deviations counterpart (shown in Table II).

TABLE II. AVERAGES AND THE STDEVs AT THE INITIAL POPULATION

	Queen	Rook	Bishop	Knight	Double Pawn	Mobility
Average	2	2	2	2	0.5	0.1
STDEV	0.71	0.71	0.71	0.71	0.0	0.0

TABLE III. AVERAGES AND STDEVs AT THE LAST POPULATION.

	Queen	Rook	Bishop	Knight	Double Pawn	Mobility
Average	7.60	3.86	3.56	2.95	0.48	0.10
STDEV	0.08	0.68	0.69	0.12	0.03	0.01

##### C. Success

The weights of the Shannon's evaluation function [5] are as follows:

Queen	Rook	Bishop	Knight	Double Pawn	Mobility
9	5	3	3	0.5	0.1

After running our chess program for 520 generations, the learning process produced the fittest individual whose parameter values are as follows

Queen	Rook	Bishop	Knight	Double Pawn	Mobility
7.57	4.66	4.41	3.16	0.44	0.11

This fittest individual was tested against a chess program that uses the Shannon's evaluation function. The results are shown in Table IV.

In the first game, the chess program based on the Shannon's evaluation function dominated the game, but the game ended with a draw because both players have reached the 50 ply limit. However, in the second game, the fittest individual of our chess program took control of the game and ended it in just 42 moves (21 moves for each player). This result has proven that the fittest individual is reasonably strong enough to win against a well-established evaluation function.

We further tested our chess program based on the dynamic boundary strategy against a well-known commercial chess program called "Chessmaster 8000". The Chessmaster 8000 level of difficulty was set to players rating 1800, which falls in the A class in the USCF rating. The fittest individual of our chess program played as White player for two games and the results are shown in Table V.

In the first game, the Chessmaster 8000 beaten the fittest individual in 81 moves. This means that the fittest individual of our chess program was competitive enough to last for this long.

TABLE IV. FITTEST INDIVIDUAL AGAINST SHANNON' EVALUATION FUNCTION.

	Play as	Moves	Result
<b>Fittest Individual</b>	White	100 moves	Draw
<b>Fittest Individual</b>	Black	42 moves	Win

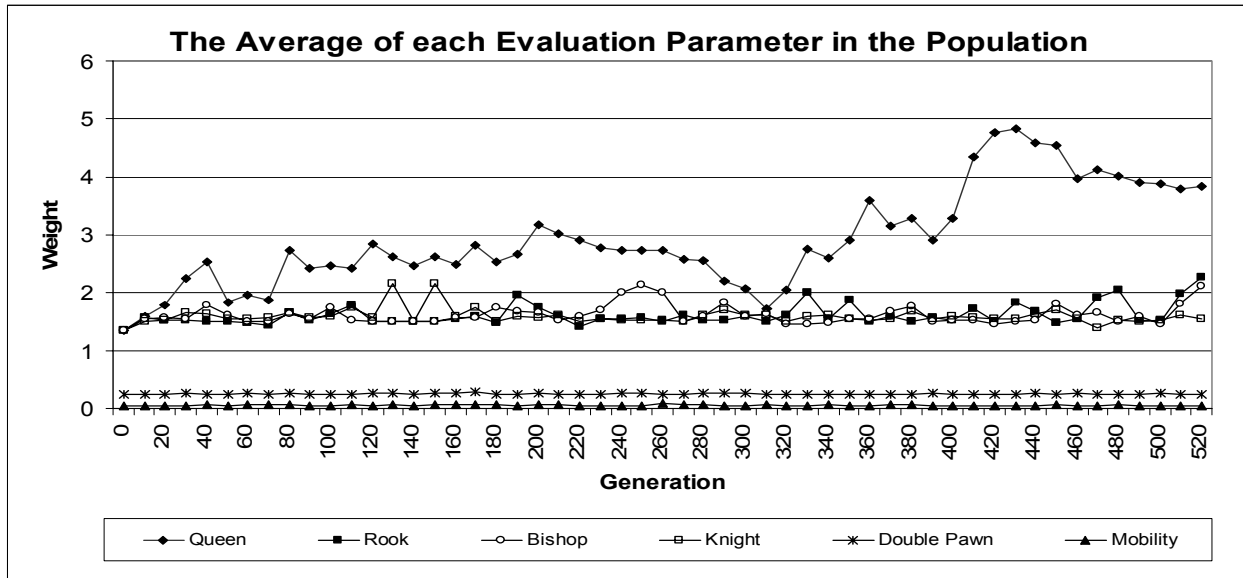


Figure 2. . Averages of each parameter throughout the learning process

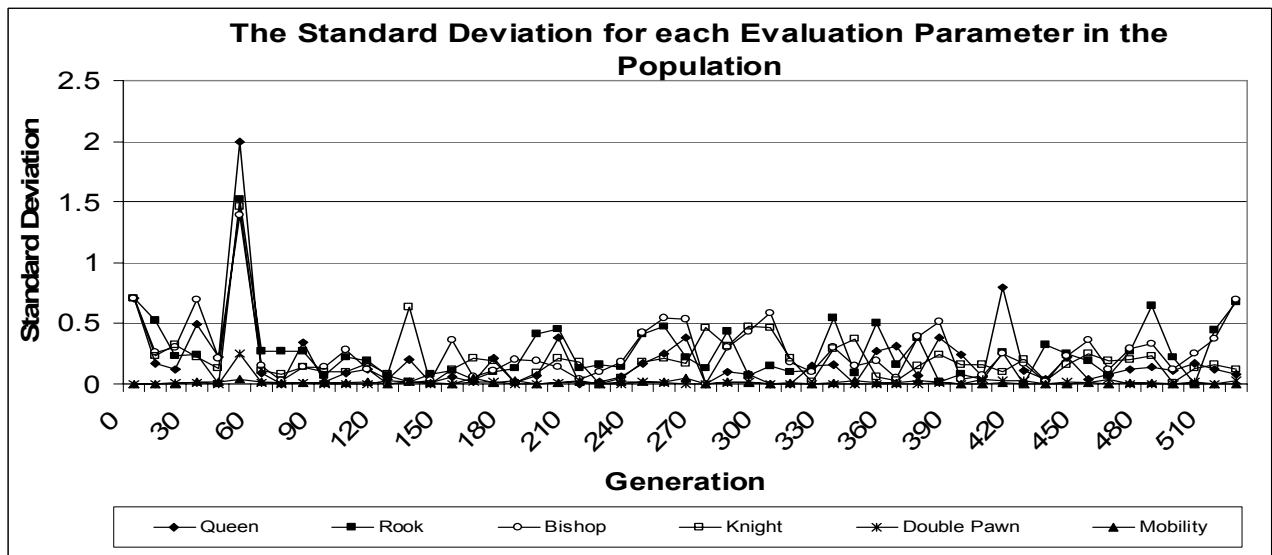


Figure 3. Standard deviations of each parameter throughout the learning process

## CONCLUSION

The second game ended with a draw because of the 50 ply limit. However, our fittest individual did very well in this round as it was dominating the game, and had the game extended, it would have beaten the ChessMaster 8000. Our chess program was left with a rook and a pawn, while the Chessmaster 8000 was left with 2 pawns only. By examining the board condition, if the game was extended, the fittest individual would have won.

In this paper, we have proposed the use a novel approach for the evaluation function of a chess playing game. This is called Dynamic Boundary Strategy

TABLE V. FITTEST INDIVIDUAL AGAINST CHESSMASTER 8000.

	Play as	Moves	Result
Fittest Individual	White	81 moves	Lost
Fittest Individual	White	100 moves	Draw

This method is quite effective because those parts of the search space that are not promising would not be visited. However, it is important to make sure that the diameter of the interval (distance between upper and lower boundary) of the weight of a given parameter must be large enough so that the search is not trapped into a local optima.

The fittest individual produced by our method is still unable to compete against a very good chess player (Chessmaster 8000), but it has proven that the learning algorithm was able to optimise (to some extent) the evaluation function that is used to determine a good move. It has beaten the chess program that uses Shannon's evaluation function.

In our future work, we would extend the search depth, incorporate heuristics, and use a more complex evaluation function. This would definitely produce a very competitive chess playing individual.

#### APPENDIX

1<sup>st</sup> game: Fittest Individual (White) Vs. Chessmaster 8000 (Black)

1	e2e3	g8f6	26	a1a4	c8f5
2	f1d3	d7d6	27	h1b1	b7b5
3	d1f3	h8g8	28	b1b5	f5h3
4	b2b3	b8d7	29	g2h3	e7f6
5	b1c3	h7h5	30	c3f6	a6b5
6	f3f5	a7a6	31	a4a8	e8d7
7	g1f3	c7c5	32	h3h4	d7e6
8	h2h3	e7e6	33	a8c8	e6f6
9	f5f4	f6d5	34	h4h5	f6e6
10	c3d5	e6d5	35	h5h4	e6e7
11	f4f5	d8c7	36	e3e4	b5b4
12	c1b2	d7f6	37	c8c7	e7f6
13	f5f4	f6e4	38	h4g3	f6e5
14	a2a4	g7g5	39	c7e7	e5d4
15	f3g5	g8g5	40	g3g2	b4b3
16	f2f3	c7a5	41	g2g3	b3b2
17	a1d1	g5g2	42	e7b7	d4e4
18	f3e4	c5c4	43	b7b2	f7f5
19	e1f1	f8e7	44	b2b4	e4d5
20	f1g2	c4d3	45	g3g2	f5f4
21	b3b4	a5b4	46	b4f4	d5e5
22	b2c3	b4a4	47	f4f7	e5d5
23	d1a1	a4e4	48	f7f6	d5c5
24	f4e4	d5e4	49	f6f7	c5d5
25	c2d3	e4d3	50	g2g3	d5c5

2<sup>nd</sup> game: Fittest Individual (White) Vs. Chessmaster 8000 (Black)

1	e2e3	g8f6	26	d2e3	f4g5
2	f1d3	d7d6	22	h1g1	d8h4
3	d1f3	h8g8	23	g1h1	d5e4
4	b2b3	b8d7	24	d3c4	e4e3

5	b1c3	h7h5	25	h1h2	h4f4
6	f3f5	a7a6	27	g2h1	g5e7
7	g1f3	c7c5	28	h1g2	e7e4
8	h2h3	e7e6	29	g2f1	c8e6
9	f5f4	f6d5	30	c4e2	e4e3
10	c3d5	e6d5	31	b2c1	e6h3
11	f4f5	d8c7	32	h2h3	e3h3
12	c1b2	d7f6	33	f1f2	h3h4
13	f5f4	f6e4	34	f2f1	e8c8
14	a2a4	g7g5	35	c1e3	h4f6
15	f3g5	g8g5	36	f1g2	f6a1
16	f2f3	g5g2	37	e2h5	d8g8
17	f3e4	c7b8	38	g2f3	a1f1
18	a4a5	b8c7	39	f3e4	g8e8
19	e1f1	f8h6	40	e4d5	e8e5
20	f1g2	h6f4	41	d5d6	
21	e3f4	c7d8			

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